



## Research paper

Rational design of Si/TiO<sub>2</sub> heterojunction photocatalysts: Transfer matrix method

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## ABSTRACT

The charge transfer in semiconductor heterojunction photocatalyst is one of the key factors determining its catalytic efficiency and durability in an aqueous environment. The widely used interface model with the simplified rectangular potential barrier fails to precisely predict the carrier transfer process since the band bending and its variation caused by solar energy are both not considered. Here, utilizing transfer matrix method, we take the Si/TiO<sub>2</sub> heterojunction as a prototype to shed light on the rational design of the semiconductor heterojunction photocatalyst in terms of its intrinsic characteristics, such as TiO<sub>2</sub> thickness and the dopant concentration. We find that the minimum incident energy (MIE) for electrons (holes) tunneling through the Si/TiO<sub>2</sub> heterojunction decreases (increases) as the thickness of TiO<sub>2</sub> layer increases. Within a moderate condition (TiO<sub>2</sub> thickness of 3 nm and dopant concentration of  $1 \times 10^{18} \text{ cm}^{-3}$  ( $5 \times 10^{19} \text{ cm}^{-3}$ ) in Si (TiO<sub>2</sub>)), both electrons and holes can transfer through the heterojunction barrier with relatively small incident energy. Furthermore, the photovoltage under illumination is beneficial for reducing MIE for electrons while increasing that for holes. Therefore, our transfer matrix scheme provides insights into rational design of the high efficient photocatalyst.

## 1. Introduction

The development of semiconductor photocatalysts for water splitting to produce hydrogen using solar energy has undergone considerable research over past decades [1–9]. Constructing semiconductor heterojunctions has been shown to be an effective scheme for improving photocatalytic activity through high efficient photogenerated charge separation and transfer [9–11]. However, a fundamental understanding of the charge transfer in semiconductor heterojunction photocatalysts remains unclear due to the complexity of band structure at heterojunction interface. To date, the extensively adopted interface models for designing and optimizing semiconductor heterojunction photocatalysts are based on the simplified rectangular potential energy barrier, including the Z-scheme [12–16] and the type-II (staggered) heterostructures [17–20]. The fatal weakness of these interface models is the ignorance or only schematically describing band bending at the semiconductor interface that could decisively affect the interfacial charge transfer process [11,21]. Another factor strongly influencing the interfacial potential barrier is the photovoltage emerging under illumination, which is even harder to be considered in above discussed

interface models.

Because of these severe deficiencies, the present interface models cannot accurately predict the photocatalytic activity thus direct the design of semiconductor heterojunction photocatalysts. Here, we utilize the transfer matrix method to quantitatively investigate the dependence of band bending and photovoltage on the charge transfer in a prototype Si/TiO<sub>2</sub> heterojunction photocatalyst. This system is widely explored in experiments which in turn validates our predications.

Si has been demonstrated to be efficient for solar hydrogen production [22–24] because of its suitable band gap (1.12 eV) for absorbing sunlight. However, it is challenging to apply Si for photocatalyst directly since it easily corrodes in an aqueous environment [25]. On the other hand, TiO<sub>2</sub> is a good passivation material due to its high resistance to photocorrosion, but its conversion efficiency of solar energy to hydrogen is still low because of its large bandgap ( $E_g = 3.0 \sim 3.2 \text{ eV}$ ) [6,26–31]. These drawbacks can be overcome by constructing the Si/TiO<sub>2</sub> heterojunction photocatalytic system, which provides a higher stability and a larger open circuit voltage [10,11,31–36].

The band bending at semiconductor heterojunction interface is

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determined by the semiconductor material's intrinsic characteristics, for example, the thickness of TiO<sub>2</sub> protective layer and the dopant concentrations of heterojunction components. Therefore, these intrinsic characteristics of semiconductor heterojunction are fundamental factors for the design and optimization of semiconductor heterojunction photocatalysts. When the thickness of TiO<sub>2</sub> protective layer is small enough that the majority carriers (electrons) in TiO<sub>2</sub> layer are completely depleted, the energy band bending in the Si/TiO<sub>2</sub> heterojunction in contact with an electrolyte [37] is quite different from the situation in n-Si/n-TiO<sub>2</sub> heterojunction reported previously where a field-free region may exist inside the TiO<sub>2</sub> layer since the deposition thickness is relatively large [11,37]. On the other hand, in previous work, the complex tunneling potential barriers for charge carriers in heterojunction are simplified as rectangular potential barriers formed by the band edges of the heterojunction components neglecting the band bending in order to characterize the charge transfer process more conveniently [38,39]. Thus, the above-mentioned simplification of tunneling potential cannot accurately describe the realistic charge transfer behavior in semiconductor heterojunction.

In this paper, we apply the transfer matrix method to calculate the transmission coefficients [40–42] of charge carriers tunneling through the Si/TiO<sub>2</sub> heterojunction. We firstly consider the simplified rectangular potential barriers at heterojunction interface. Next, the modification of band structure including band bending and photovoltage is taken into account for revealing the dependence of charge carriers' transmission coefficients on the TiO<sub>2</sub> layer thicknesses and dopant concentrations in heterojunction components. It is found that the MIE for charge carriers tunneling through the Si/TiO<sub>2</sub> heterostructures is closely related to the TiO<sub>2</sub> layer thickness and the dopant concentration. The moderate condition of TiO<sub>2</sub> thickness (3 nm) and the dopant concentration ( $1 \times 10^{18} \text{ cm}^{-3}$  for Si and  $5 \times 10^{19} \text{ cm}^{-3}$  for TiO<sub>2</sub>) is beneficial for electrons and holes tunneling through the heterojunction with relatively low incident energy. Our work reveals that the intrinsic characteristics of Si/TiO<sub>2</sub> heterojunction photocatalysts have decisive influence on their charge transfer and essentially influence the photoactivity without considering the variation of the morphology. These rules can be generalized to design other semiconductor heterojunction photocatalysts.

## 2. Transfer matrix method

The transfer matrix method can be utilized to study the transport property of charge carriers in heterojunction photocatalysts by quantitatively calculating the transmission coefficients for carriers tunneling through a one-dimensional potential energy barrier with arbitrary shape [40]. In this method, the total potential energy is divided into a series of rectangular potential energy as shown in Fig. 1. This method will be accurate if the total number of rectangular potential energy  $N$  is

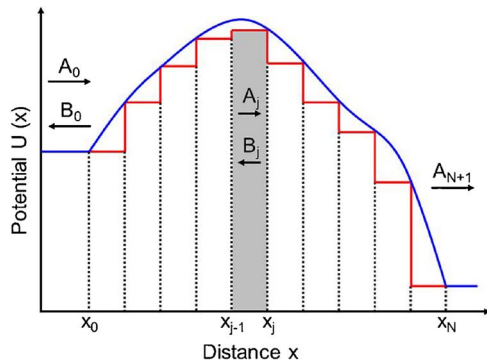


Fig. 1. The one-dimensional potential energy profile with arbitrary shape (blue line) and its approximate potential energy profile (red line) composed by a series of rectangular potential energies (gray rectangle). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

large enough that the width of each rectangular potential energy is much smaller than the de Broglie wavelength of charge carrier [43].

Consider a particle that approaches an arbitrarily shaped one-dimensional potential barrier from the left with an incident energy  $E$  and a mass  $m^*$  (Fig. 1). In quantum mechanics, the motion of the particle is described by a complex wave function  $\psi$  that is a solution to the time-independent Schrödinger equation:

$$-\frac{\hbar^2}{2m^*} \frac{d^2}{dx^2} \psi(x) + U(x)\psi(x) = E\psi(x) \quad (1)$$

This wave will be partially transmitted through the barrier and partially reflected when it propagates to the rectangular potential barrier  $U_j$ , so the wave functions in intervals  $(x_{j-1}, x_j)$  and  $(x_j, x_{j+1})$  are:

$$\psi_j(x) = A_j e^{ik_j x} + B_j e^{-ik_j x} \quad (2)$$

$$\psi_{j+1}(x) = A_{j+1} e^{ik_{j+1} x} + B_{j+1} e^{-ik_{j+1} x} \quad (3)$$

where  $A_j$  ( $B_j$ ) is the amplitude of the transmission (reflection) wave and  $k_j = \frac{1}{\hbar} (2m^*(E - U_j))^{\frac{1}{2}}$  is the wave vector within the reduced Planck's constant  $\hbar$ .

Due to continuity of  $\psi_j(x)$  and  $\frac{1}{m_j^*} \frac{d\psi_j}{dx}$  at each boundary of the rectangular potential barrier  $U_j$  [40], the amplitudes  $A_j$  and  $B_j$  are correlated with  $A_{j+1}$  and  $B_{j+1}$  by a  $2 \times 2$  transfer matrix  $M_j$ :

$$\begin{bmatrix} A_j \\ B_j \end{bmatrix} = M_j \begin{bmatrix} A_{j+1} \\ B_{j+1} \end{bmatrix} \quad (4)$$

The transfer matrix  $M_j$  in the interval  $(x_{j-1}, x_j)$  is given by:

$$M_j = \frac{1}{2} \begin{bmatrix} (1 + S_j) e^{i(k_{j+1} - k_j)x_j} & (1 - S_j) e^{-i(k_{j+1} + k_j)x_j} \\ (1 - S_j) e^{i(k_{j+1} + k_j)x_j} & (1 + S_j) e^{-i(k_{j+1} - k_j)x_j} \end{bmatrix} \quad (5)$$

$$S_j = \frac{m_j^* k_{j+1}}{m_{j+1}^* k_j} \quad (6)$$

Accordingly, the amplitudes  $A_0$  and  $B_0$  at the left boundary of the arbitrarily shaped potential barrier can be correlated with the amplitudes  $A_{N+1}$  and  $B_{N+1}$  at the right boundary by the following formula

$$\begin{bmatrix} A_0 \\ B_0 \end{bmatrix} = \prod_{j=0}^N M_j \begin{bmatrix} A_{N+1} \\ B_{N+1} \end{bmatrix} = M \begin{bmatrix} A_{N+1} \\ B_{N+1} \end{bmatrix} \quad (7)$$

where

$$M = \begin{bmatrix} M_{11} & M_{12} \\ M_{21} & M_{22} \end{bmatrix} \quad (8)$$

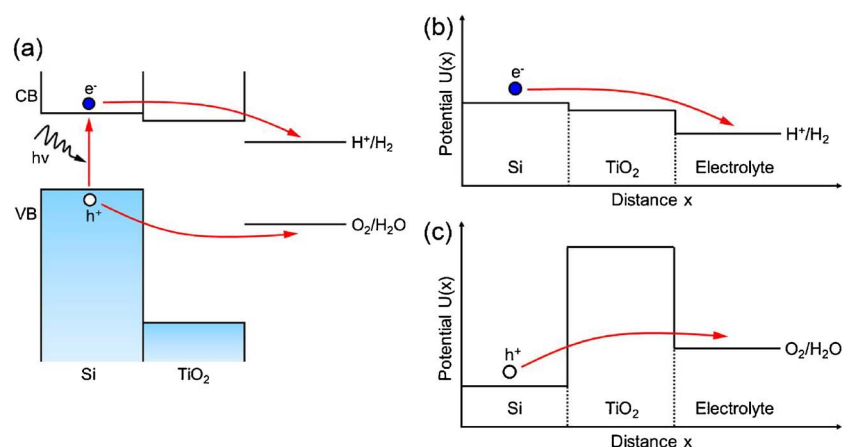
By setting  $B_{N+1} = 0$  since there is no reflection at right side of the total potential barrier, the transmission coefficient  $T$  of particle tunneling the potential barrier can be expressed as follows:

$$T = \frac{m_0^* k_{N+1}}{m_{N+1}^* k_0} \left( \frac{A_{N+1}}{A_0} \right)^2 = \left( \frac{1}{M_{11}} \right)^2 \quad (9)$$

which indicates the probability of the particle tunneling through the arbitrarily shaped potential barrier. It should be noted here that, for the carriers in semiconductors, their effective masses will change when tunneling through the interface of the semiconductor heterojunction owing to the different periodic potentials of semiconductors [40]. Though, in our work, the effective masses of carriers in all the regions are taken as those values in Si for convenience. As a result, the difference of the semiconductors' periodic potentials is included in the total potential energy of Si/TiO<sub>2</sub> heterojunction.

## 3. Results and discussion

The band alignment of the Si/TiO<sub>2</sub> heterojunction and the relative position of the redox potentials for water electrolysis are shown in

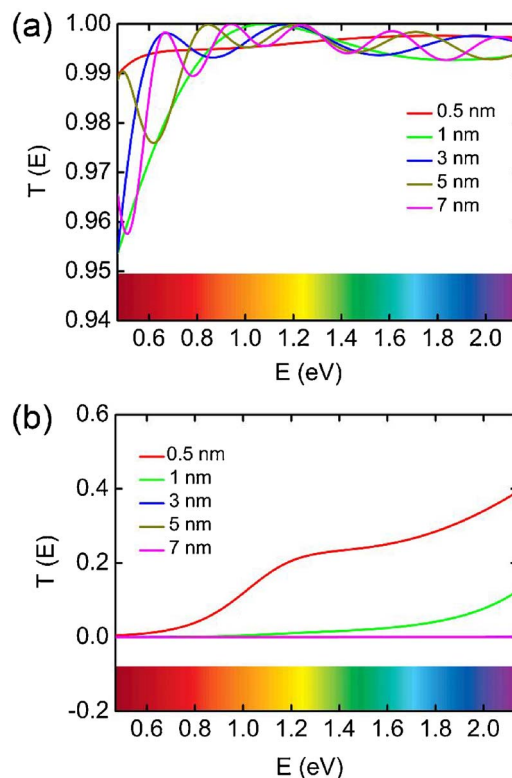


**Fig. 2.** (a) The schematic energy band diagram of the Si/TiO<sub>2</sub> heterojunction and the redox potentials for solar water splitting; (b) the potential steps for electrons ( $e^-$ ) composed by the conduction band (CB) edges of Si and TiO<sub>2</sub> along with the  $H^+/H_2$  redox potential; (c) the potential barrier for holes ( $h^+$ ) composed by the valence band (VB) edges of Si and TiO<sub>2</sub> along with the  $O_2/H_2O$  redox potential. The blue and white circles represent electrons and holes, respectively. The red arrows indicate the movements of electron-hole pairs generated by photon ( $h\nu$ ) adsorption during photocatalytic water splitting. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

**Fig. 2(a).** In the process of photocatalytic water splitting, photo-generated electrons (holes) diffusion along the conduction (valence) bands of Si and TiO<sub>2</sub> into the electrolyte. For electrons, the conduction band edges of Si and TiO<sub>2</sub> as well as the  $H^+/H_2$  redox potential form the potential steps (**Fig. 2(b)**). While for holes, the valence band edges of Si and TiO<sub>2</sub> as well as the  $O_2/H_2O$  redox potential form the potential barrier (**Fig. 2(c)**).

Because the visible light is the strongest output range of the entire solar irradiation spectrum, we mainly focus on the transmission coefficient of charge carrier in visible light range. The photon energy of visible light varies from 1.59 to 3.26 eV according to its wavelength range (380 nm ~ 780 nm). The incident energy of charge carrier in Si is equal to the difference between the adsorbed photon energy and the bandgap energy of Si (1.12 eV), which varies from 0.47 to 2.14 eV. **Fig. 3** shows the transmission coefficient  $T(E)$  of electrons (holes) in Si tunneling through the potential steps (barrier) as shown in **Fig. 2(b)** (**Fig. 2(c)**) with incident energy  $E$ . For the electrons in Si, when the thickness of TiO<sub>2</sub> in Si/TiO<sub>2</sub> heterostructure varies from 0.5 nm to 7 nm, their transmission coefficients  $T(E)$  are all larger than 0.95 in the whole range of incident energy  $E$  throughout the visible light adsorption (shown in **Fig. 3(a)**). Especially, the transmission coefficient  $T(E)$  is close to one when the incident energy  $E$  is larger than 0.9 eV. It indicates that the electron can almost completely tunneling through the Si/TiO<sub>2</sub> heterostructure due to the descending potential steps (**Fig. 2(b)**). While for the holes in Si, under different TiO<sub>2</sub> thicknesses, their transmission coefficients  $T(E)$  are all below 0.4 in the whole range of incident energy  $E$  throughout the visible light adsorption and decay rapidly towards the low energy direction (shown in **Fig. 3(b)**) because the height of the potential barrier (2.23 eV) is larger than the incident energies of holes. Besides, when the TiO<sub>2</sub> thickness is larger 3 nm, the transmission coefficient  $T(E)$  of holes is nearly zero, which means that the hole in Si is hard to tunneling into the electrolyte through Si/TiO<sub>2</sub> heterostructure in this situation. Thus, in these simplified rectangular potential models, only if the TiO<sub>2</sub> layer is thinner than 3 nm can the water oxidation reaction take place in the visible light region.

It is noted that the band bending at the interfaces of Si/TiO<sub>2</sub> heterojunctions take place and has an important impact on the transmission coefficients of electrons and holes tunneling through these heterojunctions. Therefore, in the next step, we study the influence of band bending on the transportation of charge carriers in Si/TiO<sub>2</sub> heterojunction, which depends on several factors such as the TiO<sub>2</sub> layer thickness, the dopant concentrations in Si and TiO<sub>2</sub> components, the photovoltage and so on. The key to design the Si/TiO<sub>2</sub> heterostructure photocatalyst is to find an optimized condition of TiO<sub>2</sub> thickness and dopant concentrations in Si and TiO<sub>2</sub>, under which electrons and holes can approach to the water redox potential with relative small incident energies. Firstly, in order to unravel the dependence of TiO<sub>2</sub> thickness and photovoltage on the transmission coefficients of electrons and holes



**Fig. 3.** (a) Transmission coefficient  $T(E)$  as a function of incident energy  $E$  for electrons tunneling through the potential barrier given in **Fig. 2(b)** under different TiO<sub>2</sub> layer thicknesses; (b) Transmission coefficient  $T(E)$  as a function of incident energy  $E$  for holes tunneling through the potential barrier given in **Fig. 2(c)** under different TiO<sub>2</sub> layer thicknesses. The visible adsorption spectrum corresponded with the incident energies of photogenerated carriers are presented at the bottoms of the plots.

tunneling through the Si/TiO<sub>2</sub> heterojunction, the dopant concentrations of Si and TiO<sub>2</sub> are fixed at  $1 \times 10^{18} \text{ cm}^{-3}$  and  $5 \times 10^{19} \text{ cm}^{-3}$ , respectively.

The total open-circuit photovoltage  $V_{ph}$  of the Si/TiO<sub>2</sub> heterojunction is the sum of open-circuit photovoltages at Si/TiO<sub>2</sub> and TiO<sub>2</sub>/electrolyte interface [44,45]. We denote the voltage produced by the Fermi level difference between Si and electrolyte as  $V_D$ . In order to study the effect of photovoltage on carriers' transportation in Si/TiO<sub>2</sub> heterojunction, we calculate the transmission coefficients of electrons and holes without and with photovoltage ( $V_{ph} = 0.8 \text{ V}$ ).

The depletion width  $w$  in semiconductor contacted with electrolyte is calculated as following formula [46,47]:

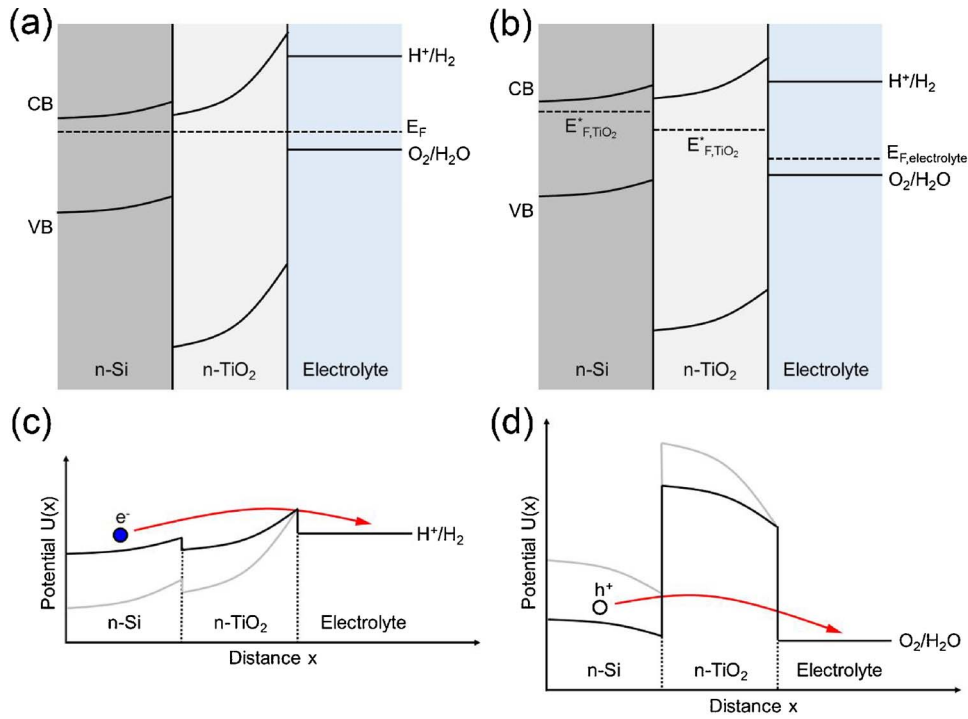


Fig. 4. The energy band diagrams of n-Si/n-TiO<sub>2</sub> heterojunctions without photovoltage (a) and with photovoltage (b); (c) the potential barrier for electrons (e<sup>-</sup>) without photovoltage (gray line) and with photovoltage (black line); (d) the potential barrier for holes (h<sup>+</sup>) without photovoltage (gray line) and with photovoltage (black line). The blue and white circles represent electrons and holes, respectively. The red arrows indicate the movements of electron-hole pairs generated by photon (hν) adsorption during photocatalytic water splitting. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

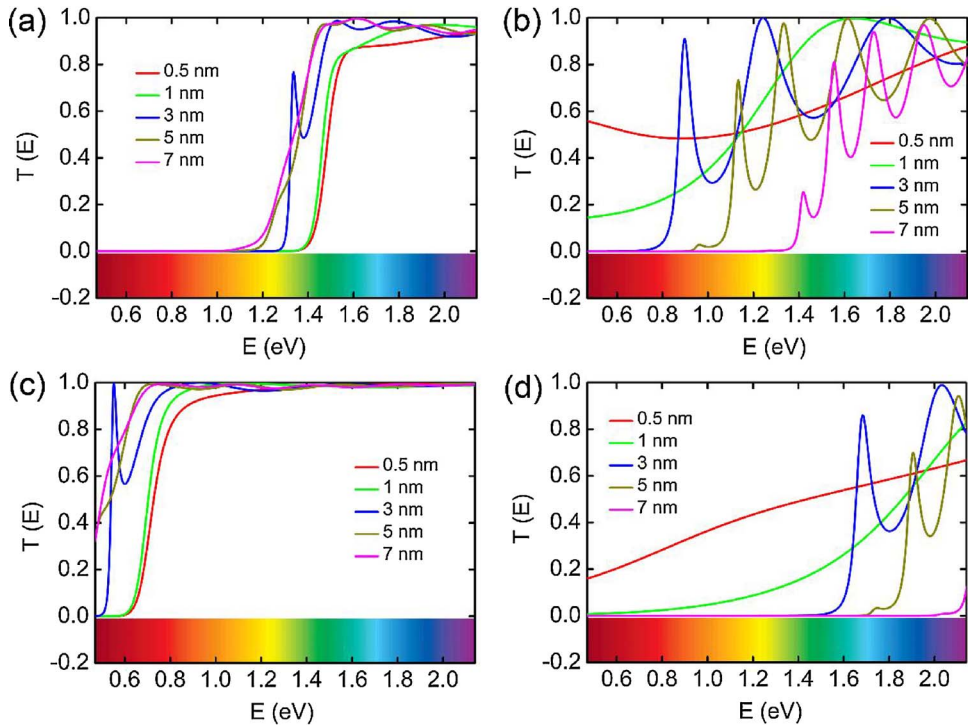


Fig. 5. Transmission coefficient  $T(E)$  as a function of incident energy  $E$  for electrons tunneling through the potential barrier given in Fig. 4(c) under different TiO<sub>2</sub> layer thicknesses without photovoltage (a) and with photovoltage (b); transmission coefficient  $T(E)$  as a function of incident energy  $E$  for holes tunneling through the potential barriers given in Fig. 4(d) under different TiO<sub>2</sub> layer thicknesses without photovoltage (c) and with photovoltage (d). The visible adsorption spectrum corresponded with the incident energies of photogenerated carriers are presented at the bottoms of the plots.

$$w = \left[ \frac{2\epsilon\epsilon_0(V_{bi} - V_{ph})}{eN_D} \right]^{\frac{1}{2}} \quad (10)$$

where  $\epsilon$  is the relative dielectric permittivity of semiconductor, which is set as 32 for TiO<sub>2</sub>,  $V_{bi}$  is the built-in voltage,  $V_{ph}$  is the photovoltage under illumination, and  $N_D$  is the dopant concentration of semiconductor. When the photovoltage  $V_{ph}$  is 0 V and 0.8 V, the depletion width  $w$  in TiO<sub>2</sub> contacted with electrolyte is estimated to be around 9.7 nm and 7.4 nm, respectively. Since the thickness of the TiO<sub>2</sub> protective layer investigated in our work is smaller than those estimated depletion width in TiO<sub>2</sub> contacted with electrolyte, the charge carriers

in TiO<sub>2</sub> layer will be completely depleted [37]. In consequence, there will be an electric field inside the entire TiO<sub>2</sub> layer.

The energy band diagrams for the n-Si/n-TiO<sub>2</sub> heterojunction without and with photovoltage ( $V_{ph} = 0$  and 0.8 V) are shown in Fig. 4(a) and (b), respectively. When the photovoltage  $V_{ph} = 0$  V, the electrons in n-Si and n-TiO<sub>2</sub> will transfer into the electrolyte in order to achieving a unified equilibrium Fermi level. Thus, the depletion regions form in both n-Si and n-TiO<sub>2</sub>. Fig. 5(a) and (b) present the transmission coefficients of electrons and holes in Si tunneling through the potential barriers shown in Fig. 4(c) and (d), respectively. When the thickness of TiO<sub>2</sub> layer increases from 0.5 nm to 7 nm, the MIE for



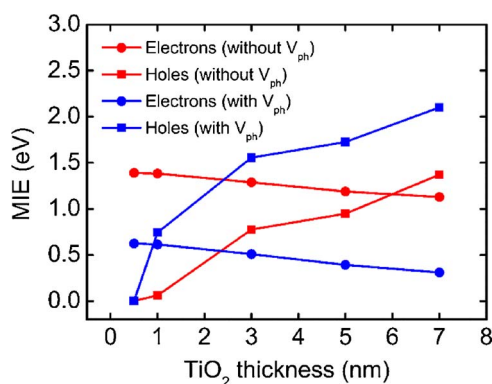


Fig. 6. The MIE for photogenerated electrons and holes tunneling through the Si/TiO<sub>2</sub> heterojunction with and without photovoltage  $V_{ph}$  as a function of TiO<sub>2</sub> layer thickness.

electrons become smaller (Fig. 5(a)). This is attributed to the decreasing effective width and height of potential barriers, which reduces the obstruction for electrons during the transfer process (Fig. 5(c)). The transmission coefficient of electrons in Si is approximate to one when the energy of incident photons is higher than the energy of yellow light. Contrary to the variation trend of potential barriers for electrons, with the increasing TiO<sub>2</sub> thickness, the height and width of potential barriers for holes become larger in spite of the total space charge layer width of n-Si/n-TiO<sub>2</sub> decreases (Fig. 5(d)). Consequently, holes in Si require more energy to transport across the thicker TiO<sub>2</sub> layer (Fig. 5(b)).

The transmission coefficient shown in Fig. 5 is quite different from the results shown in Fig. 3 neglecting the impact of the band bending, which means the band bending at the interface should not be ignored

when analyzing the charge transfer process of heterojunction. For Si/TiO<sub>2</sub> photocatalyst, compared with the results neglecting the impact of the band bending, the MIE for electrons (holes) in Si transferring across the same thickness of TiO<sub>2</sub> layer shown in Fig. 5 become larger (smaller).

When the n-Si/n-TiO<sub>2</sub> heterojunction is under the illumination of UV and visible light, the photogenerated carriers in space charge layer move to different directions under the effect of built-in electric field, which forms an opposite field and weakens the original electric-field strength. When the photovoltage is 0.8 V, the band bending at the semiconductor interface decreases to 0.78 V. As illustrated in Fig. 4(b), the photogenerated holes in Si move toward the n-Si/n-TiO<sub>2</sub> interface, while photogenerated electrons in Si are reflected back into the Si layer due to the large potential barrier until they have sufficient energy to be injected into TiO<sub>2</sub>.

Similar to the results when photovoltage is 0 V (Fig. 5(a) and (b)), as the TiO<sub>2</sub> thickness systematically increases from 0.5 nm to 7 nm, the MIE for photogenerated electrons in Si become smaller while that for photogenerated holes in Si become larger (Fig. 5(c) and (d)). Fig. 6 shows the MIE for electrons and holes in Si when the TiO<sub>2</sub> thickness changes with and without photovoltage. From Fig. 6, the MIE of holes is much more sensitive to the TiO<sub>2</sub> thickness than that of electrons and can be adjusted flexibly by changing the thickness of TiO<sub>2</sub> layer. When transferring through the TiO<sub>2</sub> layer with the same thickness (0.5 ~ 7 nm), the MIE for photogenerated electrons with photovoltage is ~0.8 eV lower than the energy for electrons without photovoltage, while for the photogenerated holes, the MIE with photovoltage is ~0.8 eV higher than that without photovoltage. In other words, under the effect of photovoltage, photogenerated electrons can satisfy the potential requirement for water reduction with lower incident energy,

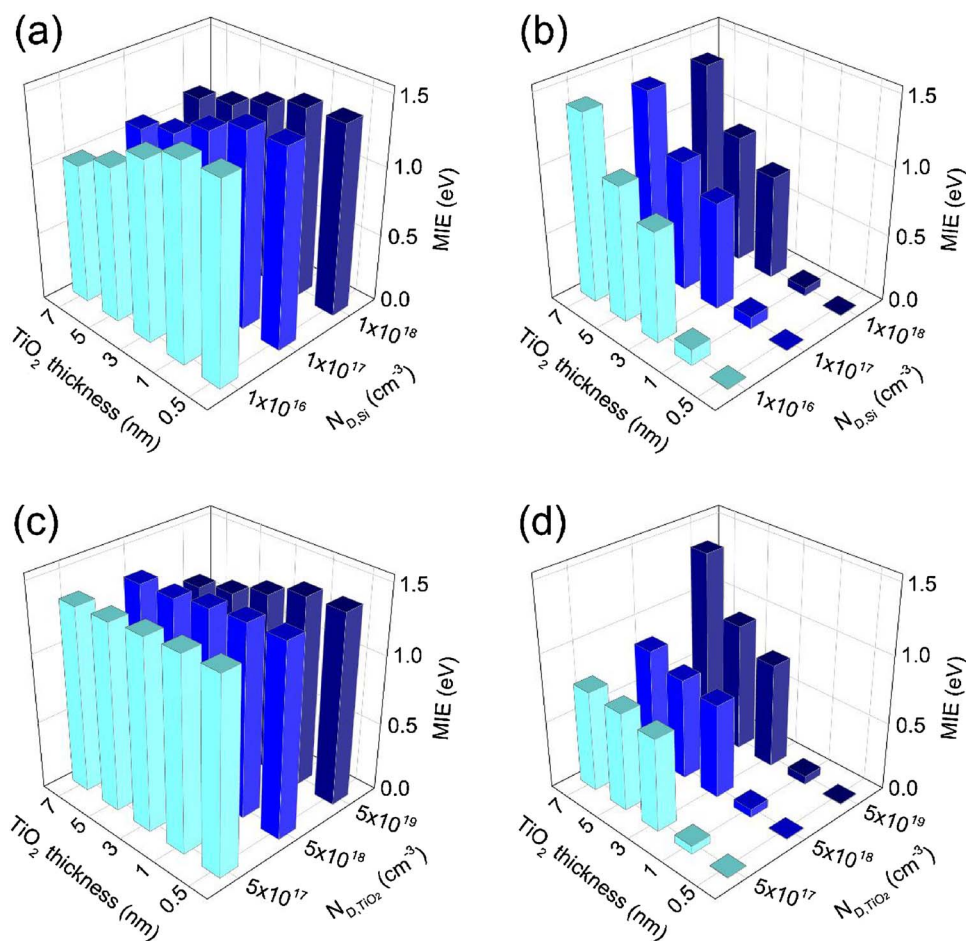
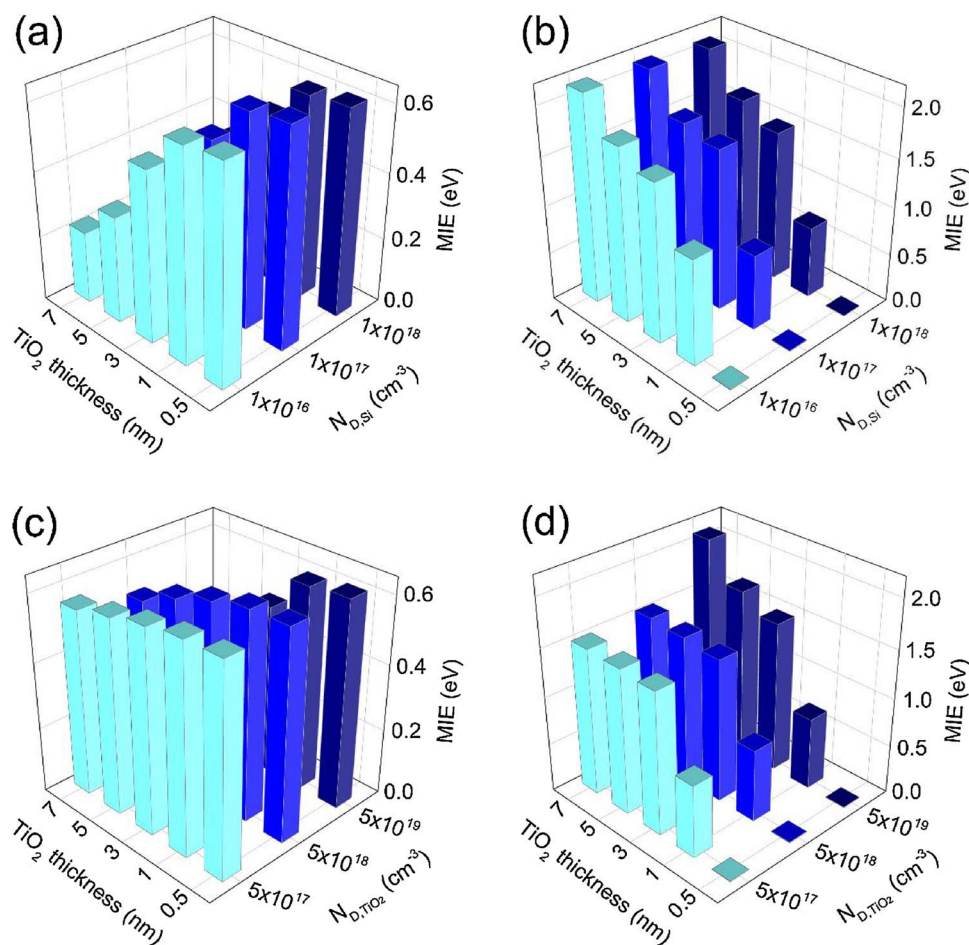


Fig. 7. The MIE for electrons (a) and holes (b) under different dopant concentrations of Si  $N_{D, Si}$  and TiO<sub>2</sub> thicknesses without photovoltage; the MIE for (c) electrons and (d) holes under different dopant concentrations of TiO<sub>2</sub>  $N_{D, TiO_2}$  and TiO<sub>2</sub> thicknesses without photovoltage.



**Fig. 8.** The MIE for electrons (a) and holes (b) under different dopant concentrations of Si  $N_{D, Si}$  and  $TiO_2$  thicknesses with photovoltage; the MIE for (c) electrons and (d) holes under different dopant concentrations of  $TiO_2$   $N_{D, TiO_2}$  and  $TiO_2$  thicknesses with photovoltage.

while photogenerated holes in Si need higher incident energy to satisfy the potential requirement for water oxidation. This occurs because the field strength and the band bending within space charge layer are greatly weakened, and the height of potential for photogenerated electrons (holes) in Si increases (decreases) remarkably (Fig. 4(c) and (d)). By choosing a moderate  $TiO_2$  thickness, such as 3 nm, the MIE for electrons and holes is relatively low, so the water redox potential can be satisfied with comparatively low incident energy.

The dopant concentration of heterojunction components is another factor that affects the potential distribution in space charge layer. Thereby, we also investigate the influence of Si/ $TiO_2$  heterojunction's dopant concentration on the charge transfer process. We firstly fix the dopant concentration of  $TiO_2$  at  $5 \times 10^{19} \text{ cm}^{-3}$  and change the dopant concentration of Si within three different values:  $1 \times 10^{16} \text{ cm}^{-3}$ ,  $1 \times 10^{17} \text{ cm}^{-3}$  and  $1 \times 10^{18} \text{ cm}^{-3}$ . As shown in Fig. 7(a) and (b), when the dopant concentration of Si changes, the MIE for electrons and holes transferring across the  $TiO_2$  layer with the same thickness is almost invariant. Furthermore, under the same dopant concentration of Si, the MIE for electrons (holes) tunneling across the heterojunction decreases slowly (increases notably) with increasing thickness of  $TiO_2$  layer from 0.5 nm to 7 nm. This is due to considerably larger dopant concentration of  $TiO_2$  layer than that of Si, thus the potential is mainly distributed in  $TiO_2$  layer and nearly unchanged within different dopant concentrations of Si.

Next, we fix the dopant concentration of Si at  $1 \times 10^{18} \text{ cm}^{-3}$  and change the dopant concentration of  $TiO_2$  layer within three values:  $5 \times 10^{17} \text{ cm}^{-3}$ ,  $5 \times 10^{18} \text{ cm}^{-3}$  and  $5 \times 10^{19} \text{ cm}^{-3}$ . As shown in Fig. 7(c) and (d), when the  $TiO_2$  dopant concentration is  $5 \times 10^{17} \text{ cm}^{-3}$  or  $5 \times 10^{18} \text{ cm}^{-3}$ , the MIE for electrons transferring across the heterojunction is nearly invariant with different  $TiO_2$

thickness from 0.5 nm ~7 nm, while the MIE for holes firstly increases and then remains almost unchanged with the increasing  $TiO_2$  thickness from 0.5 nm ~7 nm. This is because the width of space charge layer in Si is greatly larger than the thickness of  $TiO_2$  layer, the potential is mainly distributed in Si and changing the  $TiO_2$  thickness has little impact on the potential distribution. However, when the dopant concentration of  $TiO_2$  layer ( $5 \times 10^{19} \text{ cm}^{-3}$ ) is much larger than that of Si ( $1 \times 10^{18} \text{ cm}^{-3}$ ), the potential will mainly distributed in  $TiO_2$  layer and vary with different  $TiO_2$  thickness, resulting in the notable change of the MIE for electrons and holes as the  $TiO_2$  thickness increases.

As shown in Fig. 8, when the photovoltage of Si/ $TiO_2$  heterojunction is 0.8 V under illumination, the overall trend of MIE for electrons and holes tunneling through the heterojunction with different dopant concentrations is similar to that without photovoltage (Fig. 7). The difference is that, under the same  $TiO_2$  thickness and dopant concentration, the corresponding MIE for photogenerated electrons (holes) becomes smaller (larger), which means that the photogenerated electrons (holes) can transfer across the heterojunction and approach to the water reduction (oxidation) potential with lower (higher) incident energy.

The design and optimization of Si/ $TiO_2$  heterojunction photocatalytic system needs to comprehensively considering the influences of dopant concentration and  $TiO_2$  thickness. The smaller incident energies electrons and holes need when tunneling through the heterojunction, the more easily they satisfy the water redox potential within visible light range. According to the above discussion, the MIE required by electrons and holes transferring across the heterojunction can be adjusted by varying the thickness of  $TiO_2$  layer when the doping concentration of Si and  $TiO_2$  are  $1 \times 10^{18} \text{ cm}^{-3}$  and  $5 \times 10^{19} \text{ cm}^{-3}$ , respectively. A moderate 3 nm thickness of  $TiO_2$  will favor both electrons

and holes satisfy the water redox potential with relatively lower incident energy.

#### 4. Conclusion

In conclusion, the transfer matrix method is adopted to quantitatively analyze the charge transfer process in Si/TiO<sub>2</sub> heterojunction photocatalyst. The results show that the band bending and the photovoltage have a significant influence on charge transfer. The degree of band bending is relevant to the thickness of TiO<sub>2</sub> layer and the dopant concentrations of heterojunction components. The thicker TiO<sub>2</sub> layer is beneficial for electrons approaching to the reduction potential of H<sup>+</sup>/H<sub>2</sub> with relatively lower incident energy, whereas it will lead to the larger MIE for holes transferring to the oxidation potential of O<sub>2</sub>/H<sub>2</sub>O. By choosing a moderate condition (TiO<sub>2</sub> thickness is 3 nm, dopant concentration of Si and TiO<sub>2</sub> are  $1 \times 10^{18} \text{ cm}^{-3}$  and  $5 \times 10^{19} \text{ cm}^{-3}$  respectively), both electrons and holes can transfer through the heterojunction barrier with relatively small incident energy. It is noted that the previous experimental reports [10,11] mainly focused on the morphological control of the samples. The intrinsic characteristics of heterojunction (TiO<sub>2</sub> thickness and the dopant concentration), which are the essential factor influencing charge transfer in heterojunction, have not been considered in these experimental reports. Considering these intrinsic properties, our work thus provides a valuable design and optimization strategy to further improve the photoactivity of Si/TiO<sub>2</sub> heterojunction. Also, our conclusions could be applied to other heterojunction photocatalysts, such as Si/ZnO and Si/Fe<sub>2</sub>O<sub>3</sub>, with the given dopant concentration and dielectric constant of each side material.

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#### Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/10.1016/j.apcatb.2017.08.087>.

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